APRA

s 22

Whistleblower
Coordinator
Whistleblower Team

WHAT TO DO UPON RECEIPT

Whistleblower Series

What do I do if I receive a disclosure from a potential Whistleblower?



Contact Whistleblower Team



Take Comprehensive Notes



Provide potential Whistleblower Mandatory Information

What do I do if I receive a disclosure from a potential Whistleblower?

Key Information to provide to every Whistleblower:

APRA cannot provide legal advice. You may wish to consider seeking legal advice, including advice in relation to whether you are protected under the whistleblower providsions of the Corporations Act. *Note:- this is the response you should give if a person asks whether or not they are 'a whistleblower'*

Any information provided by you today will be kept with the utmost confidentiality by APRA and will not be discussed with the entity unless you provide you consent for APRA to do so;

Secrecy provisions under section 56 of the APRA Act prevent APRA from disclosing information relating to the supervision of APRA-regulated institutions. Therefore, APRA is very limited in what feedback it can provide as to how it will address the concerns you have raised to us; and

Finally, if APRA forms the view that the information you provide may be of assistance to another law enforcement agency, such as ASIC, the AFP, AUSTRAC or the Police, APRA may be required to share the information you provide with them. We will notify you if this is required.

What are your obligations to Whistleblowers

WARNING

Unauthorised disclosure of a Whistleblower's identity, or of information that could lead to their identification, is an offence under section 1311(1) of the *Corporations Act 2001*.